

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 17069  
Application ID: 10064745  
Title of Invention: CONVERTIBLE FINANCIAL  
INSTRUMENTS WITH  
CONTINGENT PAYMENTS  
First Named Inventor: James Birle  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-08-12  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: NONE  
Digital Certificate Holder: cn=Carl Oppedahl, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: fkqlsgoFAQ6GlyOVrhOu9w==  
Total Fees Authorized: \$3566.0  
Payment Category: CC -- Credit Card  
Credit Card Number: \*\*\*\*\*3008  
Expiration Date: 07312004  
Card Holder Name: Carl Oppedahl  
RAM User ID: EFSPROD  
RAM Accounting Date: 2002-08-12  
RAM Sequence Number: 505097  
RAM Payment Status: RAM success  
Postal Code: 80435



## TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Utility Patent Filing

**CONVERTIBLE FINANCIAL  
INSTRUMENTS WITH CONTINGENT  
PAYMENTS**

First Named Inventor: James R Birle Jr.

**SUBMITTED BY**

Name:	Carl Oppedahl
Registration Number:	32,746
Electronic Signature Mark: /s/	Date Signed: 20020812

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*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

**Attached Files:**

bibd-transmittal	epaveapds.xml
fee-transmittal	epavefee.xml
specification	specification.xml

**Comments:**

Any deficiency in fees may be charged to our deposit account 15-0610

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 3566**

## BANK (CREDIT) CARD INFORMATION:

Credit Card Number: 3008  
 Expiration Date: 20040731  
 Authorized Name: Carl Oppedahl  
 Billing Address: 80435

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 95	103	\$ 18	75	\$ 1350
Independent Claims: 17	102	\$ 84	14	\$ 1176

Subtotal For Extra Claims Fees: \$ 2526

## ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Publication Fee For Early or Voluntary Publication		1	195	\$ 300	\$ 300

Subtotal For Additional Fees: \$ 300